

## A REVIEW ON ARTIFICIAL INTELLIGENCE FOR WATER QUALITY PREDICTION IN AMAZONIAN COUNTRIES

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### ABSTRACT

Water quality prediction plays an important role in environmental monitoring, ecosystem sustainability in the Amazon. Therefore, this review focuses on determining the advances of the scientific production of artificial intelligence in water quality prediction in the Amazon, as well as the limitations and perspectives compared to water quality indexes (WQI). In this sense, Boolean operators were applied, using the following terms: “artificial intelligence”, “machine learning”, “water quality” and “Amazonia”, the databases were through Scopus, web of science, Springer and IEEE. In this study, 14 scientific articles published during the period 2000-2024 focused on Amazonian countries were evaluated. Although in the Amazon low scientific production was evidenced and is led by Brazil, the highest scientific growth was for 2021 and 93% belongs to the Scopus database, with a compound annual rate of 12.16%. The IA is characterized by using data from governmental institutions and is only limited to parameters such as Total Suspended Solids (TSS), Total Organic Carbon, Turbidity and Chlorophyll, using satellite imaging techniques and the most commonly used algorithm was the Clustering Algorithms. In this context, AI application is still very low in Amazonian countries compared to other European countries. Its limitations are in the accuracy and the limited amount of physicochemical and microbiological data used for predictions. However, AI is a tool that will replace the water quality indexes used manually.

|           |  |
|-----------|--|
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## INTRODUCTION

Water quality is an essential ecological value for health and economic development (Villena Chávez, 2018), it is the most abundant component of the human body, so it must have proper management (Salas-Salvadó et al., 2020; Veneros et al., 2024). However, water quality has been threatened by various sources, wastewater treatment plants, mining activities (heavy metals), food processing wastes (Morales-Rojas, 2020), agricultural runoff (Kaur & Sinha, 2019), chemical and household disposal. Water sources are divided into surface water and groundwater sources, of this rivers are most used water source by the population living near river Banks (S. Mustafa et al., 2017). In that sense, artificial intelligence (AI) plays an important role in living beings (Romero Lopez & Vargas Mato, 2017) and is divided into biological, hydromorphological and physicochemical quality (Nikolaou et al., 2008; Gad et al., 2020).

Therefore, a productive and cost-effective methodology for water quality estimation is required, but they are difficult to design due to their nonlinearity, non-stationary characteristics and constant unpredictable natural changes (Lintern et al., 2018; Perona et al., 1999). Therefore, reliable methodologies such as artificial intelligence (AI) models and their advanced tools are needed to determine water quality and achieve sustainable development (Chaudhary, 2023; Tiyyasha et al., 2020). Therefore, AI is a significantly powerful resource for water monitoring (Rajaei et al., 2020).

Studies have applied artificial neural network and adaptive neuro-fuzzy inference system to calculate dissolved oxygen (DO) levels and organic matter parameters (BOD<sub>5</sub> and COD), these results were optimal with the values measured in the river water (Emamgholizadeh et al., 2014). Neural network models are studied, to examine and mimic the relationship of water quality index (WQI) with water quality variables in a tropical environment, being an encouraging alternative to predict WQI, as opposed to manual calculation methods of WQI that involve long calculations and transformations (Hameed et al., 2017; Limon & Webb, 1964), water quality indices have a quantitative description, it is qualitatively interpreted with the help of classification schemes (Egbueri et al., 2023). However, this process has been hampered by labor and testing costs (Agbasi & Egbueri, 2023).

The adoption of AI in water has led to major revolutions and innovations with respect to water quality assessment and monitoring and high quality research should be prioritized

(Myllyaho et al., 2021). Other studies have analyzed a variety of modeling methods, such as deep learning (DL), which have been shown to increase efficiency compared to traditional machine learning (ML) models (Irwan et al., 2023).

Water in the Amazon plays a crucial role in climate regulation, biodiversity and ecosystem sustainability, as well as in the life and livelihood of local communities and population (Marengo et al., 2018). The Amazon basin covers an area of approximately 7 million km<sup>2</sup>. Amazon forests cover about 5.3 million km<sup>2</sup>, representing 40% of the global tropical forest area (Laurance et al., 2001). However, water quality in this region has been affected by a number of factors, including human activities such as mining, deforestation, and land-use change as well as by the effects of climate change (Weng et al., 2018).

In this context, the application of advanced technologies such as AI offers new opportunities to more effectively monitor and manage water resources in the Amazon. To this end, the following questions need to be addressed:

What will be the evolution of scientific production over time through the assessment and monitoring of water quality with AI techniques in the Amazon?

What are the AI algorithms used in Amazon?

What are the limitations and perspectives of AI versus water quality indices in the Amazon?

Based on the above, the objective of this study was to determine the advances of the scientific production of artificial intelligence in the prediction of water quality in the Amazon, as well as the limitations and perspectives of its use in the prediction of water quality in the Amazon.

## **MATERIALS AND METHODS**

The bibliography consulted goes back to the year 2000, up to 2024. Boolean operators were applied, using the following terms: “artificial intelligence”, “machine learning”, “water quality” and “Amazonia”. All the research was carried out through a search in Google Scholar, due to its capacity to compile free access texts. As well as the use of the main databases Scopus, web of science, Springer and IEEE as a support of all the findings on this topic, by passing after a rigorous peer review (Bakhmat et al., 2022) and 95 scientific articles were found.

### **Inclusion criteria**

Publications from 2000 to January 2024 in all languages were considered. Titles, abstracts, methodology (to determine the amount of data used in the study) and main results were examined to select the articles of interest. As the geographic scope was Amazon-wide, only 14 studies were rescued.

### **Exclusion conditions**

Book and encyclopedia chapters, conferences and reviews that did not consider artificial intelligence and others that did not fit the study topic were excluded. We also excluded, gray literature for not passing peer review (Valentine et al., 2019). In addition, inconclusive studies and duplicates were not taken into account.

### Compound annual growth rate (CAGR)

CAGR is a factor to measure economic growth (Castillo & Powell, 2019), with the purpose of describing the evolution of scientific studies, the last 23 years were studied. Calculations were performed using a CAGR calculator. An open calculator was applied because it is easier and faster to use (Calcuvio, 2022).

### Limitations and prospects

The selected research was related to the physicochemical parameters analyzed in each study and how these are contributing to the closing of gaps. The benefits of AI compared to traditional methods for determining water quality (water quality indices) were addressed. Likewise, each selected article was analyzed to determine the limitations and future perspectives for the development of research.

### Data analysis

The data were downloaded in CSV format and processed in Microsoft Office Excel 2016. This facilitated the determination of the distribution of studies by year and country. The analysis was performed with VOSviewer software version 1.6.19, a tool widely used in the scientific community to represent and visualize bibliometric networks. VOSviewer uses several colors to help understand and discover keyword relationships (Eck, Ludo Waltman, 2013).

## RESULTS AND DISCUSSION

### Research behavior according to countries

Table 2 shows the distribution of scientific articles according to the affiliation and country of origin of the first author, showing that Brazil (6 articles) and the USA (3 articles) lead with studies related to the application of artificial intelligence in the Amazon. These results may be related to the participation in the development and dissemination of knowledge in water resources management led by the Brazilian Association of Water Resources (ABRhidro) (de Paiva et al., 2020). Another country that leads in verified water quality prediction studies is the USA. These results may be related to the fact that it is the second country after China with the highest amount of organic pollutant emissions to water in the world, with an impressive value of 1,850,753 kg/day (Paraschiv et al., 2015).

Table 2. Distribution of scientific production by country

| Country | Publications |
|---------|--------------|
| Brazil  | 6            |
| USA     | 3            |

|                |   |
|----------------|---|
| Canada         | 1 |
| China          | 2 |
| United Kingdom | 1 |

### Behavior of scientific production by year

Fig. 1 shows the evolution of publications by year, highlighting 6 articles in 2021 and only one article found for 2024 (Fig 1A), which is related to the CAGR of 12.16%. Most publications are in the Scopus database (95%) and only 7% are in Web of Science (Fig 1 B). These databases are world leaders in terms of scientific content (Zhu & Liu, 2020).

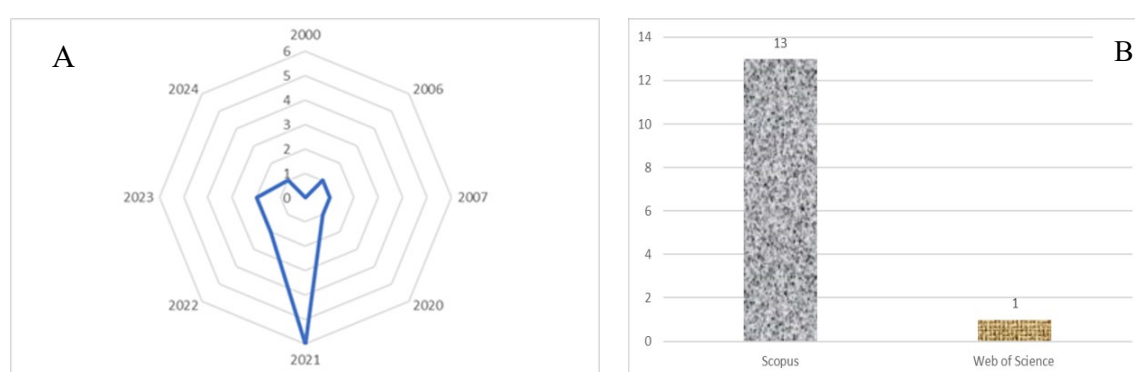


Figure. 1: Behavior of scientific production by year (A); Scientific production by database (B).

Fig 2 shows the amount of data per study, with three studies using the largest amount of data, including the studies by Valdes & Pou, (2021a) with 99473 data, while Liu et al., (2023) reached 15204 data and Strobl et al. (2007) used 8097 data. Generally, the studies that used large amounts of data were obtained from public data from organizations dedicated to water quality monitoring. In that sense, the importance of the amount of data in water quality predictions is affirmed and aims to improve decision making to improve quality indexes and public health (De Fortuny et al., 2013), however, the states, devote little attention to water management in the Amazon, although for example in the Amazon basin of Peru 94 percent transport it manually to their homes from rivers and only 50% boil the water for consumption (McClain et al., 2001). Therefore, improving water quality through efficient techniques while avoiding the smallest margin of error (James et al., 2015), is through artificial intelligence that uses methods to generate highly accurate results. To counteract problems in the amount of data, studies demonstrate the application of data imputation techniques (process of replacing missing values) (Ghapor et al., 2017) and synthetic data generation which is widely used for exploratory data analysis (Dankar & Ibrahim, 2021). These methods can be justified because of the high cost of physicochemical and microbiological analyses in water, preventing more continuous and prolonged sampling in the Amazon basin and the world.

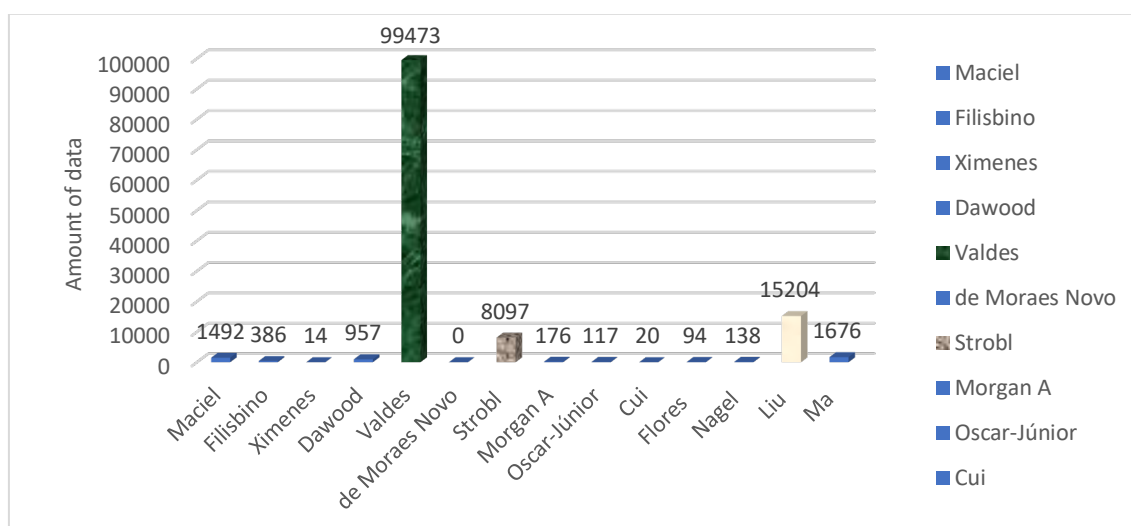


Figure .2: Amount of data used in the studies.

Table 3, the explored studies are evidenced, the minimum female contribution as first author (3 articles) in this subject, in spite of this is evidencing the increase in the number of women working in the information technology industry and even now they prefer careers related to Information and Communications Technology-ICT (Akter et al., 2021) regarding the AI algorithms used are Support Vector Machines, Ensemble Learning, Support Vector Machines, Ensemble Learning, Correlation Maps.

With respect to data collection, it was evident that 8 studies were from the public sector, influenced by the large amount of data. This is important because the data are analyzed and these are visualized in open access in this case obtained from government institutions (Hossain et al., 2016), with this an analysis is achieved from a holistic point of view, which propose future directions and the role that can be played by organizations of scientific work has the potential to advance and transform the common knowledge from which the science-based sectors are nourished (Perkmann & Schildt, 2015). While only 3 studies were with data collected by same authors, taking into account that this type of studies is costly reagents and instruments for the analysis of water samples and 3 studies used the public-public combination. Therefore, the promotion and access to water quality monitoring data by governments should be free access and artificial intelligence should be a tool for analysis and decision making by public and private institutions.

The validation methods used in the studies were the validity and accuracy index, which allows inferences to be made and hypotheses to be evaluated (Lamprea et al., 2007). Among these studies we have the R<sup>2</sup>, standard error of the estimation, Student's t-test analysis widely used in the studies. Prediction errors (value that quantifies the uncertainty of a prediction), as well as the coefficient of determination, which is a function of the proportion of variability of the variable and is attributed to the linear relationship with X (Roy-García et al., 2019).

The physicochemical parameters found was the “total phosphorus” parameter that is found in water in the form of phosphates, this can be dangerous, if it is not properly

controlled, for example if the water is used for irrigation purposes (Morales-Rojas, 2020) and total suspended solids in water is important to monitor because they carry toxic substances absorbed and limit the availability of light and photosynthesis (Park, 2007). This parameter is used in predictions by using empirical models that use surface reflectance from satellite images to estimate Total Suspended Solids (TSS) concentrations (Isidro et al., 2018). Likewise, the studies analyzed are related to the evaluation of chlorophyll and dissolved organic carbon (DOC). Regarding chlorophyll in water, they have distinctive spectral characteristics, therefore, they can be measured by spectral indices in various water bodies, including lakes, ponds, rivers and streams (Yang et al., 2017). However, it is still challenging to accurately estimate chlorophyll concentrations by remote sensing in various water bodies, because the presence of suspended sediments with high inorganic content and colored dissolved organic matter can nullify the chlorophyll spectral signal (Mouw et al., 2015; Odermatt et al., 2012; Sun et al., 2014; Yacobi et al., 2011). On the other hand, from the studies the evaluation of dissolved organic carbon in water is evidenced, this is because they carry pollutants and can negatively affect water treatment processes (Ledesma et al., 2012).

Another of the main results is the use of advances in remote sensing in landscape ecology, highlighting its contribution to the structure and function of the landscape, analysis of historical changes and simulation of future changes, an important topic for the Amazon, which represents interdisciplinarity and will help ensure that landscape ecology can benefit the most from remote sensing (Foody, 2023).



Table 3. Characteristics of the studies on water quality prediction

| Cita                          | Quartile | Number of appointments | Database | Genre of first author | AI algorithms used      | Where data were collected | Data sources                                 | Validation methods   | Physical-chemical parameters in water quality prediction /Source.  | Main results   |
|-------------------------------|----------|------------------------|----------|-----------------------|-------------------------|---------------------------|--|--|--|--|
| (de Moraes Novo et al., 2006) | Q1       | 106                    | Scopus   | F                     | Other techniques        | Brazil                    | Own and public/historical Landsat-TM imagery | Validity and accuracy index (R2, standard error of estimation, Student's t-test) | No mention/Lago=concentration of chlorophyll, dissolved organic carbon<br>dissolved organic carbon (DOC) | Evaluated seasonal changes in chlorophyll distribution in lakes. They used a linear mixing model on spectroradiometer reflectance data. It turned out that phytoplankton patches are concentrated in lakes close to land with clear water influence.   |
| (Strobl et al., 2007)         | Q2       | 10                     | Scopus   | M                     | Other techniques        | World Cup                 | Own  | -  | Total phosphorus/-*  | Describes a methodology called Critical Sampling Points (CSP) to identify crucial sampling locations in rural watersheds for total phosphorus contamination in water.  |
| (Crowley & Cardille, 2020)    | SC       | 37                     | Scopus   | F                     | Support Vector Machines | World Cup                 | Public                                       | -  | No mention of-   | It highlights the contribution in landscape structure and function, analysis of historical changes and simulation of future changes. It underlines the importance of the continued accessibility of free imagery from satellite sources and open access data analysis software in landscape ecology. It also emphasizes the future opportunities these advances offer for broader and more detailed studies in areas such as landscape connectivity, metapopulation dynamics, and social-ecological systems. |



|  |    |    |        |   |  |        |                |  |                                 |  |
|--|----|----|--------|---|--|--------|----------------|--|---------------------------------|--|
| (Oscar-Júnior, 2021)                     | Q1 | 9  | Scopus | M | Other techniques                           | Brazil | Public         | Validity and accuracy index (Shapiro-Wilk) | Not mentioned/Rio               | They found a correlation between precipitation and sea surface temperatures in the Pacific and Atlantic, highlighting the importance of the South Atlantic Convergence Zone in precipitation variability. These results are relevant for development planning and water resource management in the region.   |
| (Maciel et al., 2021)                    | Q1 | 40 | Scopus | M | Ensemble Learning, Support Vector Machines | Brazil | Own and public | Prediction errors                          | SST                             | Machine Learning methods outperformed Semi-Analytical methods, with Random Forest obtaining the best results (errors less than 22%). In addition, it was observed that Semi-Analytics could be useful for Zsd retrieval. The application of Random Forest to Sentinel-2 atmospherically corrected images showed reasonable feasibility with errors of 28%. |
| (Filisbino Freire da Silva et al., 2021) | Q1 | 7  | Scopus | M | Support Vector Machines                    | Brazil | Own and public | Coefficient of Determination               | Total Suspended Sediments (TSM) | Method for monitoring optical water types in Brazil using Sentinel-2 MSI data  |

|                        |    |   |        |   |                       |           |        |                              |    |  |
|------------------------|----|---|--------|---|-----------------------|-----------|--------|------------------------------|----|--|
| (Ximenes et al., 2021) | Q1 | 7 | Scopus | M | Clustering Algorithms | Brazil    | Own    | Validity and accuracy rates  | NC | They mapped 14 ecoregions in the Purus-Madeira interfluvium using machine learning techniques, highlighting the environmental heterogeneity of the region. This study can help in systematic conservation planning actions to maintain a greater number of protected ecoregions (as ecoregions represent environmental heterogeneity).   |
| (Dawood et al., 2021)  | Q1 | 8 | Scopus | F | Other techniques      | Peru      | Own    | Coefficient of Determination | NC | The study presents an integrated framework using Adaptive Neuro-Fuzzy Inference System (ANFIS) and Fuzzy Inference System (FIS) to predict water network condition index. The performance of the framework, superior to the multiple linear regression (MLR) model with an $R^2$ of 0.9145, demonstrates its effectiveness in optimizing prediction results and supporting sustainable urban water management. |
| (Valdes & Pou, 2021b)  | SI | 2 | Scopus | M | Other techniques      | World Cup | Public | validity and accuracy index  | NC | The results highlight temporal changes in water vapor patterns. In the conclusions, it is highlighted that accurate seasonal prediction is achieved with a reduced set of features, and the association of water vapor patterns with specific events in hemispheric regions is demonstrated.   |

|                              |    |   |        |   |                         |           |        |                             |    |   |
|------------------------------|----|---|--------|---|-------------------------|-----------|--------|-----------------------------|----|---|
| (Cui et al., 2022)           | SI | 0 | Scopus | M | Support Vector Machines | Peru      | Public | validity and accuracy index | NC | Results indicate reasonable performance, even with limited annotations, and the effectiveness of incorporating Lab color space is evaluated. Spatial regularity was observed and active learning is proposed as a future approach, along with testing unsupervised clustering algorithms on artisanal gold mining datasets.   |
| (Flores Júnior et al., 2022) | SI | 4 | WOS    | M | Other techniques        | Brazil    | Public | Prediction errors           | NC | The results showed a significant improvement in the accuracy of Chl-a recovery with the hybrid semi-analytical algorithm (HSAA) compared to empirical models, with a mean absolute percent error (MAPE) of less than 37%. Furthermore, the applicability of the calibrated model for estimating Chl-a concentration in OLCI images was demonstrated, thus supporting the usefulness of semi-analytical models in highly turbid waters and their importance for monitoring Amazonian aquatic ecosystems. |
| (Nagel et al., 2023)         | Q1 | 0 | Scopus | M |                         | World Cup | Public | -                           | NC | This paper highlights the importance of satellite data in environmental science, providing information on river migration patterns and their implications for ecosystem management and conservation.  |

|                    |    |   |        |   |                  |           |        |                   |    |  |
|--------------------|----|---|--------|---|------------------|-----------|--------|-------------------|----|--|
| (Liu et al., 2023) | Q1 | 8 | Scopus | M | Correlation maps | World Cup | Public | correlation index | NC | Synergistic relationships between global nature contributions to people (PNC) were found to prevail over trade-offs, particularly in low-latitude areas vulnerable to climate change impacts. This research underscores the critical need for strategies that foster landscape multifunctionality and regional collaboration to enhance human well-being, despite challenges in selection.   |
| (Ma et al., 2024)  | Q1 | 3 | Scopus | M |                  | World Cup | Public | -                 | NC | The systematic review highlights the growing application and success of Transfer Learning (TL) in environmental remote sensing in various domains, including land cover mapping and disaster management. Future directions emphasize the need for reference datasets for TL evaluation, improving model interpretability, and leveraging basic models for remote sensing tasks, indicating the crucial role of TL in improving the efficiency of environmental monitoring. |

NC=No analysis of physico-chemical or microbiological parameters; SC=no quartile

Fig 3 shows the co-occurrence of keywords where the word climate change is highlighted and it is the node that is related to the other keywords such as Computational intelligence. In addition, it is observed that the green nodes are the emerging words such as water resources, south Atlantic convergence zone. The visualization of the co-occurrence of keywords is important in recent years, since they exploit the mapping of knowledge related to each topic (Radhakrishnan et al., 2017).

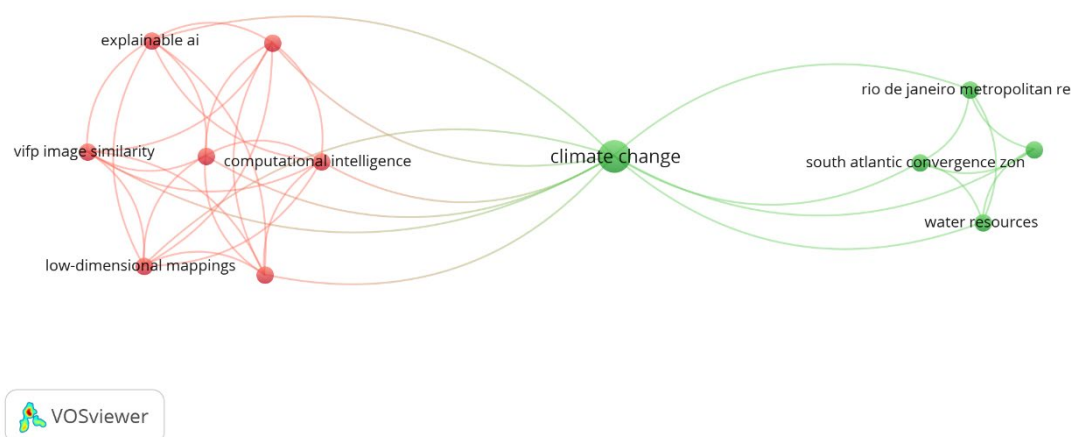


Figure .3: Keyword analysis of the studies

### Limitations and future prospects

The limitations of the studies lie in insufficient accuracy, in the face of this, researchers are working on how to improve the accuracy of water quality prediction (Chen et al., 2023). The explored studies are based on spatial analysis of images and address few water quality parameters such as chlorophyll and turbidity, TSS (Feyisa et al., 2014; Su, 2017). Therefore, systematic methods to estimate error should be developed and the images should be of high resolution (Burns & Nolin, 2014). On the other hand, it is evident the need for future work to assess water quality in the Amazon, incorporating in the analysis several parameters (physicochemical-microbiological), especially the parameters of organic matter and heavy metals through in situ monitoring, associated with biological indicators of water quality and incorporating sensors for real-time evaluation.

It is evident that artificial intelligence can be used to monitor water quality in the Amazon. This in order to have more accurate data and to replace the evaluation of quality indices applied manually, and their results are expressed in categories (Maroneze et al., 2014) (Table 4), these indices are currently classified according to values, as shown in the ICAs of Colombia and Brazil. In the future, it is expected that AI will help organizations in

monitoring, decision making and achieving safe and improved water quality for users to support a sustainable future (Chauhan & Sahoo, 2024; Mustafa et al., 2021).

Table 4. Categories of water quality indices commonly used in the Amazon countries

| Categories of ICA |            |            |         |
|-------------------|------------|------------|---------|
| Code              | Colombia   |            | Brazil  |
|                   | ICA Rojas  | ICAUCA     | IAP     |
| 1                 | Very Bad   | Lousy      | Lousy   |
| 2                 | Inadequate | Inadequate | Mala    |
| 3                 | Acceptable | Acceptable | Regular |
| 4                 | Good       | Good       | Good    |
| 5                 | Optima     | Optima     | Optima  |

Table 5 shows the physicochemical and microbiological parameters considered by the Colombian ICAs, which are based on weighted values; however, there are few of them, in this sense, AI has the great challenge of improving and establishing evaluation patterns. Table 5. Physicochemical and microbiological parameters used by ICA and IA in Amazonia.

| Parameter                        | Colombia       |             | Amazonia        |
|----------------------------------|----------------|-------------|-----------------|
|                                  | Index          |             | IA              |
|                                  | ICA Rojas 1991 | ICAUCA 2004 | Parameters used |
| Dissolved oxygen (DO)            | 0.25           | 0.21        | -               |
| pH                               | 0.17           | 0.08        | -               |
| Biochemical oxygen demand (BOD5) | 0.15           | 0.15        | -               |
| Nitrates                         |                |             | -               |
| Fecal Coliforms                  | 0.21           | 0.16        | -               |
| Temperature                      |                |             | -               |
| Turbidity                        | 0.11           | 0.07        | -               |
| Total Dissolved Solids           | 0.11           | 0.07        | -               |
| Total Phosphorus                 | -              | 0.08        | X               |
| Cadmium                          | -              | -           | -               |
| Mercury                          | -              | -           | -               |
| Electrical conductivity          | -              | -           | -               |
| Suspended Solids                 | -              | 0.05        | X               |
| Color                            | -              | 0.05        | -               |
| Total Nitrogen                   | -              | 0.08        | -               |
| Chlorides                        | -              | -           | -               |

|                              |   |   |   |
|------------------------------|---|---|---|
| Arsenic                      | - | - | - |
| Fluoride                     | - | - | - |
| Total Coliforms              | - | - | - |
| Chemical Oxygen Demand (COD) | - | - | - |
| Alkalinity                   | - | - | - |
| Hardness                     | - | - | - |
| Phosphates                   | - | - | - |
| Cyanide                      | - | - | - |
| Selenium                     | - | - | - |

- = Parameters not contemplated; X= Parameters used in AI studies in Amazonia;  
Adapted from: Torres et al.(2009)

## CONCLUSIONS

The IA is characterized by using data from governmental institutions and it was evidenced that it is limited to parameters such as Total Suspended Solids (TSS), Turbidity, total organic carbon and Chlorophyll, limiting the analysis of water quality. It is expected that this will be overcome and the IA will use monitoring with the use of a greater number of parameters. Brazil is the leading Amazonian country with AI studies, however, its application is still very low compared to other European countries. The compound annual growth rate showed a value of 12.16% and 95% of the selected articles are hosted in Scopus 95% and only 7% are in Web of Science. This shows that these databases are the leaders in the scientific academic world due to the high standard of review during their editorial processes.

AI is expected to increase its potential to solve the challenges faced by water monitoring in the Amazon, providing technical support for more efficient management and operation.

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